

FORM PTO-1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				MTS-3279US
INTERNATIONAL APPLICATION NO. PCT/JP00/01928		INTERNATIONAL FILING DATE 29 March 2000 (29.03.00)		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/937934
				PRIORITY DATE CLAIMED 30 March 1999 (30.03.99)
TITLE OF INVENTION DATA PROCESSING SYSTEM, DATA SENDING/RECEIVING APPARATUS, AND RECORDING MEDIUM				
APPLICANT(S) FOR DO/EO/US Chiyoko Matsumi; Junji Yoshida; Masazumi Yamada; Yukio Kurano				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 				
Items 11 to 20 below concern documents(s) or information included:				
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 U.S.C. 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Certificate of Mailing by Express Mail; Return postcard 				

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<p>21. <input type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):</p> <p><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.... <u>\$1040.00</u></p> <p><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.... <u>\$890.00</u></p> <p><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <u>\$740.00</u></p> <p><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) <u>\$710.00</u></p> <p><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) <u>\$100.00</u></p>		CALCULATIONS PTO USE ONLY																												
ENTER APPROPRIATE BASIC FEE AMOUNT =		<u>\$ 890.00</u>																												
Surcharge of <u>\$130.00</u> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<u>\$</u>																												
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>EXTRA NUMBER</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>146 - 20 =</td> <td>126</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>9 - 3 =</td> <td>6</td> <td>X \$84.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input checked="" type="checkbox"/></td> <td></td> <td>+ \$280.00</td> </tr> <tr> <td colspan="2"></td> <td></td> <td><u>\$ 280.00</u></td> </tr> <tr> <td colspan="2"></td> <td></td> <td>TOTAL OF ABOVE CALCULATIONS =</td> </tr> <tr> <td colspan="2"></td> <td></td> <td><u>\$ 3,942.00</u></td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE	Total claims	146 - 20 =	126	X \$18.00	Independent claims	9 - 3 =	6	X \$84.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input checked="" type="checkbox"/>			+ \$280.00				<u>\$ 280.00</u>				TOTAL OF ABOVE CALCULATIONS =				<u>\$ 3,942.00</u>	
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		<u>\$</u>																												
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <u>\$40.00</u> per property		<u>\$ 40.00</u>																												
		+ <u>\$</u>																												
		TOTAL FEES ENCLOSED =																												
		<u>\$ 3,982.00</u>																												
		Amount to be refunded: <u>\$</u>																												
		Charged: <u>\$</u>																												
<p>a. <input checked="" type="checkbox"/> 2 checks in the amount of <u>\$3,982.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of <u>\$</u> _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																														
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																														
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Allan Ratner Ratner & Prestia P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700</p>																														
<p>SIGNATURE</p> <p><u>Allan Ratner</u> <u>Jack J. Jankovitz</u> NAME</p> 																														
<p>42,690 REGISTRATION NUMBER</p>																														
<p><u>October 1, 2001</u> DATE</p>																														

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Chiyoko Matsumi; Junji Yoshida; Masazumi Yamada; Yukio Kurano

097937934

MTS-3279US

Serial No.
To be assignedFiling Date
Herewith

Examiner

Group Art Unit

Invention:

DATA PROCESSING SYSTEM, DATA SENDING/RECEIVING APPARATUS, AND RECORDING
MEDIUM

I hereby certify that the following correspondence:

Form PTO-1390 (in duplicate) and related enclosures

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 onOctober 1, 2001

(Date)

Kathleen Libby

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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